

Summary of data collection on TSL predecessors

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Abstract

This Deliverable includes a database of projects and structures that may be seen as precursors of Transition Super Labs. In addition, based on desk research selected projects are described in more detail in order to evaluate, assess, and compare their conceptual framework and methodological approach for accelerating the transition towards climate neutrality. This contributes to the development of the new and still evolving concept of Transition Super Labs. The results are a prerequisite for a common understanding about the methodological approach and the conceptual grounding of evaluation and impact assessment within the TRANSFORMER project.

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Table of Contents

EXECUTIVE SUMMARY	
1 INTRODUCTION	5
2 CONCEPTUALIZATION OF TRANSITION SUPER LABS AND CRITERIA FOR IDENTIFYING TSL	PREDECESSORS 6
3 METHODOLOGY	
4 DESCRIPTION OF THE DATABASE	
5 EVALUATION AND COMPARISON OF SELECTED PROJECTS	
6 SUMMARY AND CONCLUSION	
LITERATURE	
ATTACHMENTS	

Table of Figures

Figure 1: Elements of a Transition Super Lab. Source: own design	6
Figure 2: The Deep Demonstration Systems Innovation Methodology	15
Figure 3: Canvas for identifying suitable "Models of Governance"	18
Figure 4: Overview of the cases that address identified challenges for implementing TSLs	29

Table of Tables

Table 1: TSL characteristics and criteria for the identification of TSL predecessors	7
Table 2: Description of TSL predecessors and projects with valuable insights for the TSL concept	. 15
Table 3: Summary of main differences between MCA and CBA	. 25
Table 4: Database of TSL predecessors and projects of methodological or thematic interest	.37





Executive Summary

The concept of Transition Super Labs (TSL) is based on the realization that the urgency and complexity of climate change require an approach which allows to simultaneously develop and test a portfolio of large-scale systemic solutions for climate neutrality. However, the TSL concept is a new and still evolving concept which is only vaguely outlined yet. Therefore, this Deliverable aims at elaborating a working definition, identifying common characteristics of TSLs and evaluating, assessing, and comparing projects and structures that may be seen as precursors of Transition Super Labs. This will contribute to the development of the methodological framework of the TSL concept and provide the conceptual grounding of evaluation and impact assessment within the TRANSFORMER project.

The challenges of implementing TSLs—defined in this Deliverable as *Large-scale Living Labs for systemic transformation*—guided the selection and evaluation of TSL predecessors. To this regard, over 70 projects that have certain characteristics of a TSL or are of interest to the TRANSFORMER project with regard to their methodological approach were collected in a database. Seven of these TSL predecessors were selected and evaluated.

The cases were selected, because they all chose a portfolio approach that aims at large-scale systemic solutions for a sustainable transformation and they all applied—at least some of the—methodologies that characterise a TSL. They vary in their geographic scale (city, region and whole country) and their thematic focus, but they all provide valuable insights with regard to the identified methodological challenges of implementing TSLs.

The gained knowledge about the experiences of the selected cases contributes to the conceptualization of the TSL approach and the development of a suitable methodological framework for the TRANSFORMER project.





1 Introduction

The human induced climate change threatens the lives and livelihoods of billions of people and other life forms on this planet. It is therefore regarded as one of the biggest challenges of our time.¹ Incremental changes and single solutions will not be sufficient to address the urgency and complexity of this historical challenge. It rather requires systemic solutions which rapidly and fundamentally change "the way in which energy, resources, goods and services are produced and used"².

However, many of the concepts and projects that are designed to contribute to such a transformation often quickly "fall apart when theory collides with technical, environmental and socioeconomic realities"³. That is why there is a growing conviction among scientists and politicians that the complexity of such a fundamental transformation requires an approach, which allows to simultaneously develop and test—together with affected stakeholders—a portfolio of large-scale systemic solutions for climate neutrality. This is the core idea of the *Transition Super Lab* (TSL) approach, which is applied in the TRANS-FORMER project: the development and implementation of "a real-life laboratory where systemic innovation for the transition to a fully decarbonised economy is tested at scale in locations where particularly difficult transition efforts will be required"⁴.

However, the TSL approach is a new and still evolving concept. In order to further develop and conceptualize the approach, the first important step is to learn from projects and structures that may be regarded as precursors of TSLs. Therefore, the main objective of this Deliverable is to identify TSL predecessors in order to evaluate, assess, and compare their conceptual framework and methodological approach for accelerating the transition towards climate neutrality. These findings are going to contribute to the development of the methodological framework of the TSL concept and are a prerequisite for the conceptual grounding of evaluation and impact assessment within the TRANSFORMER project.

Two questions guide and structure this Deliverable. The basic question that needs to be answered first is: (1) How can TSLs be characterized and what kind of projects and structures can be regarded as their predecessors? Building upon this, a second question will be investigated: (2) What is the conceptual framework and methodological approach of the TSL predecessors to accelerate the transition towards climate neutrality?

In order to answer these research questions, this Deliverable will be structured as follows: in a first step, the TSL concept will be described and characterised in order to define what kind of projects and structures can be regarded as predecessors of TSLs (Chapter 2). Based upon that, the methodology of this analysis will be explained (Chapter 3). In chapter 4, the database will briefly be described, followed by an evaluation of selected TSL predecessors (Chapter 5). This Deliverable concludes with a summary of the results and an assessment of the need for further research and conceptual development of the TSL approach (Chapter 6).



¹ IPCC 2022

² Directorate-General for Research and Innovation 2018, 20

³ ibid., 165

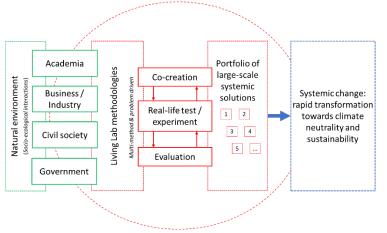
⁴ ibid., 165



2 Conceptualization of Transition Super Labs and criteria for identifying TSL predecessors

As mentioned in the introduction, the TSL approach is a new and still evolving concept. In order to answer the first research question—*How can TSLs be characterized and what kind of projects and structures can be regarded as their predecessors?*—this chapter reviews existing literature about TSLs⁵, elaborates a working definition and identifies common characteristics. The derived criteria serve to identify projects that can be regarded as TSL predecessors.

The concept of Transition Super Labs was introduced by the *High-Level Panel* of the European Decarbonisation Pathways Initiative. It is based upon "compelling evidence that rapid decarbonisation of advanced industrialised societies can only be achieved through systemic solutions"⁶. The complexity of such a fundamental systemic change in order to rapidly transform whole cities, large economic clusters (such as mining areas) or even regions, requires a com-



large economic clusters (such as mining Figure 1: Elements of a Transition Super Lab. Source: own design

pletely new approach: an instrument which combines the evidence-based success of fast and effective decarbonisation processes with real-life and large-scale development and testing of portfolios of low carbon, cost-effective and proven technological and non-technological solutions. Therefore, the adaptation of Living Lab methodologies to a large-scale and with a focus on systemic transformation can be regarded as the core characteristic of a TSL (see Figure 1):

- 1. Adaptation and application of Living Lab methodologies
- 2. Aiming at large-scale systemic solutions for a rapid sustainable transformation
- 3. Applying a portfolio approach of measures (experiments) and using multiple leverage points for systemic change simultaneously

In the following overview (Table 1), the characteristics of TSLs are briefly explained and criteria for the identification of TSL predecessors are identified. Based on this scheme, TSL predecessors will be selected and evaluated.



⁵ So far, the concept of TSLs is only vaguely outlined and there is—especially with regard to governance and operational level—no literature available yet. The following elaborations are therefore mostly based on three sources: Directorate-General for Research and Innovation 2018, Dunlop et. al 2021 and Schönwälder 2021.

⁶ Directorate-General for Research and Innovation 2018, 165



Table 1: TSL characteristics and criteria for the identification of TSL predecessors. Source: own compilation.

TSL characteris-	Explanation of the TSL characteristic	Criteria for the identifi-
tic		cation of TSL predeces-
		sors
Adaptation and Application of Living Lab meth-	A Living Lab can be described as "a real-life test and exper- imentation environment where users and producers co- create innovations" ⁷ . The idea of the TSL concept is, to	Application of Living Lab methodologies (→ co-creation, experi-
odologies	 adapt Living Lab methodologies in order to take this "experimental setting" to a large-scale level focusing on systemic change. In this regard, three main activities of a Living Lab are of particular relevance for the TSL approach: Co-Creation (or co-design) Experimentation (real-life testing) and Evaluation 	mentation, evaluation)
	Co-Creation means, that innovations are not driven by a single-inventor but are a collaborative development of multiple actors. Applied to a TSL, this means that all relevant stakeholders from the quadruple helix (academia, industry, government and civil society) are involved in the co-creation process: This co-creation process starts with the definition of the problem and an analysis on how it is connected to the current system. ⁸ Based upon that, a vision is developed and possible scenarios for the transformation process are explored. This enables the stakeholders to identify and implement feasible strategies and solutions ("experiments") to achieve the desired transformation goals. ⁹ In TSLs, the participation of civil society is at the core of the co-creation process so that the challenges of transition can be fully understood and feasible transformation scenarios can be developed. The participation of citizens is also crucial to create a "sense of ownership" ¹⁰ for the	All relevant stakeholders from academia, industry, government and civil so- ciety are actively involved in the co-creation pro- cess. Civil society plays a prom- inent role in the co-crea- tion process. They are not only consulted, but ac- tively involved in design- ing the transformation process.



⁷ Schuurman 2015, 133. To this regard, Living Labs operate as intermediaries among the stakeholders (citizens, companies, academia and government institutions; Bahei-El-Din & Hassan 2017, 47).

⁸ E.g. mobility-related greenhouse gas emission and air pollution and their multiple and complex connections to the whole socio-technical (mobility) regime.

⁹ Schäpke et al. 2018, 86-88; cf. Bergmann et al. 2021; Schuurman 2015

¹⁰ Dunlop et. al 2021, 12



TSL characteris- tic	Explanation of the TSL characteristic	Criteria for the identifi- cation of TSL predeces- sors
	transformation and "for improving the legitimacy of public policymaking" ¹¹ .	
	The complexity of changing whole societal systems re- quires an approach that focuses on experimenting and testing different potential solutions in order to understand the cause and effect relationships of sustainability-related issues. To this regard, experimentation (in combination with \rightarrow evaluation and simultaneous learning) serve two pur- poses: "They contribute to transformation by experiment- ing with potential solutions" and they "produce evidence about the social robustness of solutions, as well as about their scalability and transferability" ¹² . It is therefore of cru- cial importance for a TSL that all stakeholders "[d]evelop a shared understanding of purpose and character of experi- mentation" ¹³ and about their—intended or unintended— societal impacts ¹⁴ .	Focusing on a real-life ex- periment (real-life test)
	Evaluation is an essential element of the Living Lab meth- odology. It consists of a systematic assessment of objec- tives, methods, processes and impacts according to prede- termined criteria. ¹⁵ As TSLs are designed to experiment on different possible solutions and leverage points at the same time (\rightarrow portfo- lio approach), the evaluation process is essential to under- stand, which (combination of) solutions have the desired effect and are therefore most efficient for a rapid transfor- mation process. However, evaluation is not only necessary for assessing the impact or efficiency of a technological innovation or a policy. "Iterative and reflexive monitoring and evaluation needs to be an integral part of sustainability transition ex- periments to support individual and organizational	Focusing on a constant and comprehensive eval- uation throughout the whole innovation pro- cess. Iterative and group-spe- cific learning processes for all stakeholders are an essential objective of the project.



¹¹ Schönwälder 2021, 489

¹² Schäpke et al. 2018, 86-87; cf. Bergmann et al. 2021, 545

¹³ Bergmann et al. 2021, 550

¹⁴ ibid., 549

¹⁵ Luederitz et al. 2017, 64; cf. Schuurman 2015, 133



TSL characteris-	Explanation of the TSL characteristic	Criteria for the identifi-
tic		cation of TSL predeces-
		sors
Aiming at large-	learning promoting ongoing change and up-scaling im- pact ^{"16} . A TSL therefore should provide learning possibili- ties for all stakeholders during the whole innovation pro- cess. Large-scale systemic solutions aim at transforming whole	Focusing on a large-scale
scale systemic solutions for a rapid sustaina- ble transfor- mation	regions and non-sustainable economic systems and not just replacing "wasteful components by more efficient ones." ¹⁷ Locations, in which a transition can be particularly difficult, are regarded as especially promising for the TSL approach. According to the <i>High-Level Panel of the European Decar-</i> <i>bonisation Pathways Initiative</i> this is especially the case in locations like:	transformation: whole city, economic sector (such as mining, mobility agriculture sector), re- gion or even a country Specifically focusing on
	 "mining-industrial complexes that need to be transformed quickly without destroying their value-creation potential; conventional agricultural regions that are suitable for conversion into climate-neutral/negative bioe-conomies and can also become havens for biodiversity and sustainable tourism; metropolitan areas where novel concepts of mobility, construction and operation can be combined, most notably by making use of the powerful tools provided by digitalisation and artificial intelligence."¹⁸ Large-scale systemic solutions imply two things: as a systemic approach is regarded as a key element for the TSL concept¹⁹, socioecological factors connected to "the natural environments of society and the economy [] should be seen as drivers for knowledge production and innovation"²⁰. Therefore, the <i>quintuple innovation helix framework</i> is applied in the TRANSFORMER project. 	systemic solutions

¹⁶ Luederitz et al. 2017, 62

²⁰ Carayannis et al. 2012, 1. This applies especially to the above mentioned "conventional agricultural regions" in which the urgently needed transition can be particularly difficult: Including the natural environment in the knowledge and



¹⁷ Directorate-General for Research and Innovation 2018, 165

¹⁸ ibid., 165; cf. Xexakis & Trutnevyte 2019, 13.

¹⁹ Directorate-General for Research and Innovation 2018, 165; Schönwälder 2021, 488



TSL characteris-	Explanation of the TSL characteristic	Criteria for the identifi-
tic		cation of TSL predeces-
		sors
	2) the systemic approach also implies, that a focus on mul- tiple leverage points would be conducive to simultane- ously transform more than one societal system (e.g. trans- portation and industrial production), thus accelerating the transformation process (\rightarrow Portfolio approach).	
Applying a port-	The idea of choosing a portfolio approach of connected ex-	Using a portfolio of re-
folio approach	periments for the concept of a TSL and engaging "multiple	lated experiments and
of measures	levers of change simultaneously" $^{^{\prime\prime} ^{21}}$ is based on the work of	engaging multiple lever-
(experiments)	Daniela Meadows and inspired by the "Deep Demonstra-	age points for a sustaina-
and using multi-	tions" initiated by the EIT Climate-KIC.	ble transformation simul-
ple leverage	Using levers for change is based on the idea that "a small	taneously
points for sys-	shift in one thing can produce big changes in everything $^{\prime\prime22}.$	
temic change	Regulations or financial incentives are for example lever-	
simultaneously	age points, which are frequently used for achieving (sys-	
	temic) change.	
	The underlying assumption of choosing a portfolio ap-	
	proach of experiments is, that no single solution will be	
	able to address the complexity of transforming whole re-	
	gions and societal systems. ²³ A rapid transformation there-	
	fore requires the testing of a variety of diverse and inher-	
	ently different solutions simultaneously to see which are	
	the most efficient, especially if connected with each other.	
	This means, that TSLs should focus on a portfolio of trans-	
	formation solutions (experiments) and engage multiple	
	leverage points at the intersection of socio-technical re-	
	gimes simultaneously in order to achieve a rapid and more	
	efficient transformation. ²⁴	

innovation model is conducive for the development of *green technology innovations* ("eco-innovations") and "eco-entrepreneurship" (ibid., 5) and thus of crucial importance for promoting a transformation to climate neutrality and sustainability.



²¹ EIT Climate-KIC 2019b, 12

²² Meadows 1999, 1

²³ EIT Climate-KIC 2019b; cf. Dunlop et. al 2021, 12

²⁴ Such as the above-mentioned regulations or financial incentives for supporting the deployment of hydrogen technology in order to simultaneously change the transportation and the industrial production system. However, one of the most effective leverage points with regard to a fundamental systemic change is to change the "mindset or paradigm out of which the system—its goals, structure, rules, delays, parameters—arises" (Meadows 1999, 3). Raising awareness for the urgent need of a fundamental change in order to promote a shift in mindset of the people is therefore regarded as an important aspect for a successful systemic transformation (Wamsler & Brink 2018, Wamsler et al. 2020).



The above depicted overview is supposed to provide a first outline of the new and still evolving concept of TSLs. Even though this concept and its elements are still up for (academic) debate, the overview gives a general idea about the concept and key characteristics of a TSL. Based upon these characteristics, a TSL can be described as a *Large-scale Living Lab for systemic transformation*.²⁵ However, what "large-scale" means in practice (city, regional or national scale) and which "scope" the systemic transformation has to encompass to qualify as a TSL (partial/incremental or complete/radical transformation), is still up for debate.

With regard to the TRANSFORMER project, the TSLs will focus on systemic innovation by identifying and using leverage points which connect different socio-technical regimes.²⁶ The scalar focus of the TRANS-FORMER project lies on regions, as they can be regarded as a crucial focus point where different socio-technical regimes effectively intersect in real-life configurations. Therefore, we aim to find leverage points to simultaneously push two or more socio-technical regimes towards climate neutrality and aim to generate innovation on this regional scale level.

Due to the scale, scope and complexity of such an approach, implementing a TSL will face some fundamental challenges, that have to be addressed in the TRANSFORMER project:

- Ensuring a **balanced representation** of different societal groups and enabling all stakeholders to (efficiently and effectively) participate in a large-scale Living Lab.²⁷
- Ensuring that stakeholders are **motivated to participate** over the long time of a systemic transformation, lasting several years or even decades.
- **Integrating existing economic and political networks** in a TSL without creating an imbalance of (political and economic) forces, thus preventing an inclusive transformation process.
- Dealing with **individual interests** and **conflicting ideas** among the stakeholders, especially with regard to "veto players".²⁸
- Creating a **common vision** for a transformation on a regional scale among the variety of different stakeholders.
- Implementing **suitable governance arrangements** for a TSL, operating on different levels of government (local, regional, national) but not (necessarily) within the boundaries of a specific political and administrative unit.²⁹



²⁵ cf. Schönwälder 2021, 488

²⁶ For an explanation of socio-technical regimes see: Geels 2002; Fuenfschilling & Truffer 2014

²⁷ With a special focus on empowering marginalised groups and stakeholders with limited resources to participate (cf. Kamruzzaman 2020).

²⁸ Like large companies and business groups in the fossil fuel industry that still have significant political influence and can fundamentally hinder (energy) transformations (Balthasar et al. 2019; cf. Bayulgen & Ladewig 2016). To this regard, it is important to emphasize that the "potential for policy change decreases with the number of veto players, the lack of congruence (dissimilarity of policy positions among veto players) and the cohesion (similarity of policy positions among the constituent units of each veto player) of these players" (Tsebelis 1995, 289). Therefore, the upscaling of Living Labs to a regional level and with a focus on a comprehensive systemic transformation might impose a serious challenge as this will very likely increase the number of "veto players" that lack political congruence and cohesion.

²⁹ With regard to the discussions about *governance* and sustainability transformations see: Ehnert et al. 2018; Kronsell & Mukhtar-Landgren 2018; Patterson et al. 2017; Rabadjieva & Terstriep 2021.



- Identifying, implementing and managing necessary steps and iterative loops in the TSL process.³⁰
- Assessing and measuring the **effects** and **effectiveness** of multiple connected experiments on complex socio-technical regimes.³¹

With regard to these challenges, we need to learn from the predecessors of TSLs: even though they might operate on a smaller scale or focus "only" on one socio-technical regime, their experiences—especially with regard to the methodological approach and conceptual framework—will contribute to the conceptualization of TSLs. Therefore, these expected challenges guide the selection and evaluation of TSL predecessors.

3 Methodology

The previous chapter showed, that TSLs can be described as a *Large-scale Living Lab for systemic transformation*. Therefore, the search words for identifying TSL predecessors were chosen accordingly.³² The projects and structures identified in the research were collected in a database and briefly described based on publicly available information. Accordingly, over 70 projects were collected in the database (see Table 4, p. 37) which possess certain characteristics of a TSL (Table 1) or are of interest to the TRANSFORMER project with regard to their methodological approach or their thematic focus. This database provided the basis to identify projects that were most suitable for further investigation and analysis. In that respect, the focus lies on projects that provide insights with regard to the development of a conceptual framework and a feasible methodological approach for the TSL concept, thus addressing some of the above-depicted challenges (see Chapter 2). In addition, these projects can provide valuable insights with regard to the conceptual grounding of evaluation and impact assessment within the TRANSFORMER project. Seven projects were selected and evaluated (Chapter 5) in order to answer the second research question: *What is the conceptual framework and methodological approach of the TSL predecessors to accelerate the transition towards climate neutrality*?

Even though many of the identified and selected projects and structures are just being implemented—and have only limited results so far—they are an excellent opportunity to learn about their experiences in order to find possible solutions for the above depicted challenges of implementing a TSL.



³⁰ With regard to the whole TSL process: Identification and participation of stakeholders (coalition building), defining the problem and analysing how it is connected to the current system, developing a vision and feasible scenarios for the transformation process as well as implementing, monitoring and adjusting measures ("experiments") to achieve the desired transformation goals.

³¹ Especially with regard to "help decision-makers prioritise adaptation interventions [...] from a cost-effective and social perspective" (Etxebarria et al. 2021, 36; cf. Krlev & Terstriep 2022).

³² Key words were "Transition Super Lab", "Large-scale Living Lab", "Regional Living Lab", "Urban Living Lab" and a combination of "Living Lab" and a project relevant thematical focus (mobility & transport, hydrogen infrastructure, circular economy, CO₂ emission reduction & capture technologies for green and fair agri-food supply chains). For this desk research, different sources were used: Academic catalogues (e. g. the Library of the Ruhr University), Web of Science, SCOPUS, Google Scholar and—especially for more detailed and current project information—the search engine "Google".



In addition, the identification of TSL predecessors also provides a necessary step, to get connected and establish a platform for "active and simultaneous learning" about common experiences and solutions for pathways to climate neutrality and sustainability.³³

4 Description of the Database

The database of TSL-predecessors is the basis for the *Summary of data collection on TSL predecessors* (see Table 4, p. 37).³⁴ To this end, the database is kept as simple as possible: it encompasses the name of the project or structure and a short description (including a source for further information about the project). The database also includes projects, which do not necessarily qualify as a TSL predecessor but are of methodological or thematical relevance to the TRANSFORMER project (see Chapter 3). At the time of the submission of this Deliverable, 73 projects were collected in the database.

In order to keep track of the results of identified TSL predecessors and to identify more structures that can be regarded as TSL predecessors, the database is designed as a "living database" which will be supplemented in future. Based upon this database, seven TSL predecessors were selected. They will be briefly described and evaluated in the next chapter.

5 Evaluation and comparison of selected projects

As mentioned above, the aim of this Deliverable is to provide insights with regard to the development of a conceptual framework and a feasible methodological approach for the TSL concept. Therefore, the seven selected projects and structures are not portrayed in detail but only briefly described and evaluated with regard to a specific aspect that addresses one of the above-depicted challenges for implementing a TSL (see Chapter 2). Their real-life experiences will contribute to the further development and conceptualization of the TSL approach.

The first case "A Deep Demonstration of a Circular, Regenerative and Low-Carbon Economy in Slovenia" focuses on a circular economy approach to foster a fundamental systemic transformation of the whole country. This case is used to provide a general methodological approach based on an iterative process developed by EIT Climate-KIC in the Deep Demonstration program³⁵ (systems innovation model), that can be adapted and applied to the TSL concept.

The second case "**REWAISE – Resilient Water Innovation for Smart Economy**" is structured in nine Living Labs which focus on the creation of smart water ecosystems. In this project, a canvas for "Defining Models of Governance" was applied in order to find suitable governance arrangements and business models. This

³⁵ Deep Demonstrations are designed as large-scale projects which "are intended to be inspirational examples of what's possible (EIT Climate-KIC 2019a, 2)". In this demand-led approach, EIT Climate-KIC works together with "city authorities, regional bodies, governments or industry leaders who are committed to fundamental transformation to a net-zero emissions, resilient future (ibid.)". In their systems innovation approach (see case of Slovenia below), a portfolio approach of experiments is applied and multiple leverage points for systemic change are used simultaneously in order to learn "what creates the fastest pathways to change (ibid.)".



³³ For the according "Communication and Dissemination Strategy", see also Deliverable 6.1 and 6.2 of the TRANS-FORMER project.

³⁴ The database was compiled with MS Excel and is included in the attachment of this Deliverable.



canvas provides an easily implementable blueprint, to assess the interests, capabilities and roles of the different stakeholder groups and thus addresses the challenge of finding and implementing suitable governance arrangements and appropriate legal forms for TSLs and their related projects and activities.

The third case "**Rybnik 360 project"** faces the challenge to develop a transition strategy for a coal mining region. This case will be used to illustrate a novel approach ("Deep Listening") with regard to the participation and involvement of stakeholders in the vision building process and in developing ideas and possible solutions for the transformation. This approach is particularly interesting, as it addresses multiple of the above depicted challenges with regard to the involvement of stakeholders simultaneously.

The fourth case "**Deep Demonstration Vienna**" uses a portfolio approach to become climate neutral until 2040. This case will provide an example of a useful methodological approach to identify and bring together stakeholders and a programme that provides an opportunity for citizens to get actively involved in the development of climate protection measures and the financial decision-making process.

The fifth case "**Future City_Lab: Reallabor für nachhaltige Mobilitätskultur**" aims at developing solutions to transform the mobility system in the city of Stuttgart, focusing on the "cultural dimension of mobility". The Living Lab gives a promising example on how learning possibilities can be designed in order to be conducive for the transition process. This will address the challenge of enabling stakeholders to participate in a large-scale Living Lab, ensure that stakeholders are motivated to participate over the long time of a systemic transformation, and to create a common vision for a transformation on a regional scale among the variety of different stakeholders.

The sixth case "**Score - The Coastal City Living Lab**" applies Living Lab methodologies in a network of 10 coastal cities to develop and implement integrated solutions to adapt to climate change and enhance their climate resilience. This case is used to provide a methodological framework for the challenge of assessing and measuring the effects an effectiveness of multiple connected experiments on complex so-cio-technical regimes. This is a prerequisite for decision-makers to prioritise adaptation interventions from a socio-economic.

The seventh case "**Climate Ready Clyde - Building a more resilient, prosperous and fairer Glasgow City Region**" developed a portfolio of connected solutions in order to fundamentally transform the socio-economic system to become a climate resilient region. It provides the insight, that having an "economic case" is a strong leverage point to overcome obstacles and generate widespread acceptance for the transformation process. This case will also be used to exemplify, that changing whole socio-economic systems is a complex undertaking that can (or has to) be addressed in many ways: for example, by the "use of culture to help achieve transformational adaptation"³⁶.



³⁶ Twist et al. 2020, 2



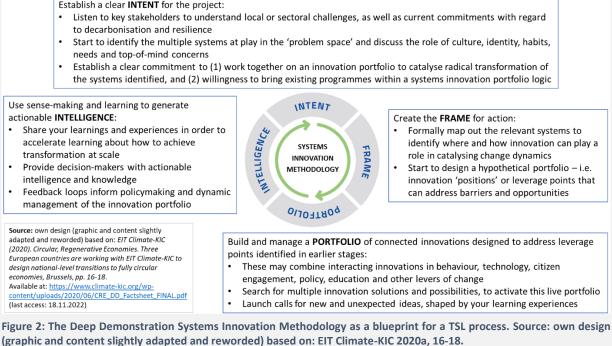
Table 2: Description of TSL predecessors and projects with valuable insights for the TSL concept. Source: own compilation.

A Deep Demonstration of a Circular, Regenerative and Low-Carbon Economy in Slovenia

A study by the consulting company Material Economics has calculated, that the implementation of a circular economy has the potential to cut 56% of the emissions from heavy industry by 2050.³⁷ Therefore, the Slovenian government identified the circular economy as a strategic development priority for meeting the country's climate targets. In order to achieve their ambitious goal to become a zero-carbon country by 2030, the Slovenian government implemented in 2018 a Roadmap for Circular Economy³⁸ and adopted in 2019 a proposal by the EIT Climate-KIC called: A Deep Demonstration of a Circular, Regenerative and Low-Carbon Economy in Slovenia. The applied "Systems Innovation Methodology" will be described in more detail, as it provides a useful approach that can be adapted to the TSL process. In the first step (see Figure 2), relationships with local stakeholders were established in order to develop a common understanding of the transformation process and to create a commitment to work together.³⁹ To this regard, the participation of stakeholders from all societal groups—including citizens and civil society organisations—is of central importance in order to fully understand the local and sectoral challenges and "for improving the legitimacy of public policymaking"⁴⁰.



Establish a clear INTENT for the project:





³⁷ Material Economics Sverige AB 2018, 5

³⁸ Košir et al. 2018

³⁹ EIT Climate-KIC 2020a. For a detailed explanation of the methodology see: EIT Climate-KIC 2021b

⁴⁰ Schönwälder 2021, 489



In the second step, the Slovenian government and a variety of stakeholders mapped relevant factors and activities in the climate context, in order to explore and identify possible transformation scenarios.⁴¹ The goal was to "move away from incremental solutions and to create and implement a portfolio of strategic, coordinated innovative interventions" to "achieve real transformative change across the whole system"⁴².

The developed innovation solutions (third step) focus on three pillars: Smart and circular communities, Circular green development and Circular policy design and science. Under the umbrella of the *Slovenian Center for Smart and Circular Transition,* the activities will be structured across 17 linked programs which target "the three major stakeholder groups of local communities, business, and policy-makers"⁴³. The transition focuses on five key supply chains that will simultaneously be transformed: forestry, built environment, manufacturing, food and mobility. Specific implemented projects are for example the establishment of waste collection systems and recycling centres.⁴⁴

The implementation of (and experimentation on) corresponding projects is designed to have positive social, environmental and financial impacts (avoided greenhouse gas emissions, launched services and products on the market, revenue etc.). In addition, the projects also aim at promoting "shifts in behaviours, mindsets and practices, thus creating the framework and conditions needed for systemic change."⁴⁵. To this regard, learning from the experiences (step four) is a key element in this iterative process, in order to generate actionable intelligence for decision-makers and to learn how to "achieve transformation at scale"⁴⁶.

Lessons learned for the TRANSFORMER project:

The case of **Slovenia** fulfils all of the above depicted criteria for a TSL: applying Living Lab methodologies with a strong emphasis on citizens' participation and using a large-scale portfolio approach that aims at a fundamental systemic change for a whole country. Moreover, the case of Slovenia provides real-world experiences on how a systemic transformation can be implemented and managed in a large-scale Living Lab. To this regard, the applied *Systems Innovation Methodology* gave evidence-based knowledge about necessary steps and iterative loops that are useful in the management of the TSL process. Therefore, the case of Slovenia addresses on of the main basic challenges of a TSL on how to identify, implement and manage a transformation process in a TSL (see Chapter 2).

In addition, circular economy is of thematical relevance for the TRANSFORMER project (especially for the TSL in Western Macedonia). The example of Slovenia can therefore provide additional thematical learning opportunities for the TRANSFORMER project.



⁴¹ This consists of a "comprehensive resource flow map encompassing the flows of raw and intermediate materials, finished products, waste, energy, human resources, economic value as well as imports and exports, [that] [...] will form the backbone of any further activities". (EIT Climate-KIC 2020d)

⁴² EIT Climate-KIC 2022

⁴³ Ibid.; EIT Climate-KIC 2021a

⁴⁴ Lavtizar et al. 2021, 426; cf. EIT Climate-KIC 2022

⁴⁵ EIT Climate-KIC 2022

⁴⁶ EIT Climate-KIC 2020a, 18



REWAISE – Resilient Water Innovation for Smart Economy

REWAISE – Resilient Water Innovation for Smart Economy is a European project for the creation of smart water ecosystems. It started in 2020 and will run until 2025. The project is structured in nine Living Labs which are grouped into three European hubs (Atlantic, Continental, Mediterranean).⁴⁷ As large-scale real-life demonstrators, the REWAISE Living Labs aim at contributing to a carbon neutral and sustainable hydrological cycle, consistent with the concept of a resilient circular economy. The project focusses on establishing a "framework of digital innovations to support alternative water management"⁴⁸, especially with regard to reducing freshwater and energy use as well as recovering nutrients and materials. The project also aims at creating new market niches for products and services in water-smart activities, and to "redefine the governance models and provide recommendations that can remove unnecessary legal barriers to innovation in Europe"⁴⁹.

This brief summary about the REWAISE project focuses on the aspect of "redefining the governance models" as it addresses the above depicted challenge of identifying and implementing suitable governance arrangements for TSLs (Chapter 2). To this regard, it is important to emphasize, that due to the complexity and the diversity of large-scale Living Labs there is no "one-size-fits-all" governance model.⁵⁰ However, in general the governance and management structure of a Living Lab (or a TSL) should be designed according to the way it is managed and organised on a strategic or operational level. To this regard, "the Living Lab vision and scope, risk management, operations, knowledge sharing as well as dissemination activities should be taken into account"⁵¹.

With regard to the specific Living Lab activities, the support by "the local governments, decision makers and the private companies" is of major importance.⁵² However, studies about sustainability transition and (large-scale) "Urban Living Labs" show, that *collaborative governance* approaches—which focus on the inclusion and cooperation of different stakeholder groups—are also regarded as a key success factor.⁵³ Therefore, the **canvas for "Defining Models of Governance"** (see Figure 3) which was applied in the REWAISE project, is based upon the idea that the governance arrangements of a Living Lab "should provide decision-making opportunities to all stakeholders".⁵⁴ Therefore, representatives from all stakeholder groups need to be involved from the beginning and the chosen governance model "should mirror a circle of mediators where there are no dominating voices".

⁵⁴ Bódi et al. 2022, 14



⁴⁷ <u>http://rewaise.eu/living-labs/</u> (last access 19.11.2022)

⁴⁸ Bódi et al. 2022, 14

⁴⁹ ibid.

⁵⁰ Zingraff-Hamed et al. 2021

⁵¹ Bódi et al. 2022, 14

⁵² ibid.

⁵³ Ehnert et al. 2018; Kronsell & Mukhtar-Landgren 2018; Patterson et al. 2017; Martin et al. 2019; Medina et al. 2022; Rabadjieva & Terstriep 2021; Voytenko et al. 2016; Zingraff-Hamed et al. 2021. Other studies highlight the importance of "*bottom-up participatory governance*" approaches for generating trust and solving problems (Medina et al. 2022) and "*polycentric governance*" approaches (Zingraff-Hamed et al. 2019).



The central questions addressed by the canvas to define the most fitting governance model for a Living Lab are:

"Who are the participants?

 Public administration, Research institutions and Universities, Companies, SMEs, Society

Who is paying/contributing with what? Including:

 Project manager and other personnel, Budget, In-kind

How are decisions taken in the different levels?

• Project strategy, Project implementation, Day-by-day decisions

Which is the communication strategy?

• Internal communication, External communication

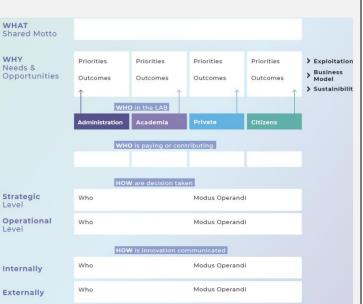


Figure 3: Canvas for identifying suitable "Models of Governance". Source: Bódi et al. 2022, 14.

What does each participant get?

• Money, Social impact, Prototypes, products or services, Intellectual property"55

This canvas provided a basis for the REWAISE project, to analyse and implement suitable governance models and find appropriate legal forms for the different projects in the respective Living Labs.⁵⁶

Lessons learned for the TRANSFORMER project:

As the concept of TSLs is still evolving, no practical experiences with regard to the governance and operational level exist so far. However, it is obvious that TSLs—as large-scale Living Labs—will operate on different levels of government (local, regional, national) and across the boundaries of political and administrative units. Due their focus on a systemic transformation by applying a portfolio approach, TSLs also may have a large scope of activities. In addition, as the framework may change significantly during a long-term systemic transformation (e. g., due to amended or new regulations or changes of socio-economic influencing factors), governance arrangements will not be static. Implementing suitable governance arrangements for a TSL is therefore especially diverse and challenging. To this regard, the canvas for identifying suitable "Models of Governance"—that was successfully applied in the large-scale Living Lab REWAISE—provides an easily implementable blueprint to assess the interests, capabilities and roles of the different stakeholder groups. This provides the basis to identify, establish and if necessary adapt governance arrangements and appropriate legal form for the TSLs and its different projects.⁵⁷





Rybnik 360 project

The City of Rybnik is situated in the Silesian province, which is the largest coal-producing area of the EU.⁵⁸ The Rybnik metropolitan area has 2.3 million residents and is home to "240,000 businesses generating approximately eight per cent of Poland's GDP."⁵⁹ Coal mining is still a dominant industry, with "over 10% of the population work[ing] in entities connected to the mining industry"⁶⁰. Despite the economic importance, there are several reasons for a complete phase-out of coal mining and fossil power generation: their severe negative effect on air pollution levels⁶¹, the lack of competitiveness for Silesian coal⁶² and regulations regarding the emission of greenhouse gases.⁶³

Rybnik faced the challenge, how to involve the various stakeholders in the region and dealing with their "different and often contradictory interests and expectations"⁶⁴ in the development of the transformation process. In cooperation with the EIT Climate-KIC, Rybnik authorities addressed this challenge by implementing a novel approach in order to involve all stakeholders: *Deep listening*.

The idea was, that the city government shouldn't impose a particular perspective on the citizens, "but listening to the citizens and other stakeholders in the city to identify the pathways of this transformation"⁶⁵. In order to do this, over 200 in-depth interviews and 900 surveys were conducted between April and October of 2020.⁶⁶ This resulted in the creation of 93 innovation ideas that evolve around four identified key areas for improvement: air quality, quality of life, future of mining and future of work.⁶⁷ This resulted in the "creation of a portfolio of strategic solutions that are interconnected to amplify the impact on Rybnik's entire urban ecosystem"⁶⁸. They address "the most relevant urban challenges, such as how to support entrepreneurs and encourage the creation of new business activities, or how to catalyse the replacement of coal-based heating systems in residents' homes"⁶⁹. However, Rybnik360 does not have "predefined and pre-planned activities. It is a continuous process of verifying initiatives

- ⁵⁹ EIT Climate-KIC 2020b
- 60 Dunlop et al. 2021, 9

- 62 Sadura et al. 2021
- 63 EIT Climate-KIC 2020b



⁵⁵ Bódi et al. 2022, 33-34

⁵⁶ For a detailed description of the established governance arrangements and business models in the nine Living Labs of the REWAISE project see: Bódi et al. 2022, 47-54. For a stakeholder mapping and comprehensive study about the societal contexts in the Living Labs see: Medina et al. 2022, 34-97

⁵⁷ Bódi et al. 2022, 33-34

⁵⁸ Barbiroglio 2021

⁶¹ EIT Climate-KIC 2020b

⁶⁴ Sadura et al. 2021

⁶⁵ EIT Climate-KIC 2021d

⁶⁶ Dunlop et al. 2021, 9. For a detailed description of the methodology see: Sadura et al. 2021

⁶⁷ d'Antonio et al. 2021

⁶⁸ EIT-Climate-KIC 2021c

⁶⁹ d'Antonio et al. 2021



and adapting them in order to optimise their effectiveness⁷⁰. This means, "that after the initial implementations, there is constant learning evolution of the portfolio"⁷¹.

Lessons learned for the TRANSFORMER project:

The case of "Rybnik 360 project" is a particular interesting example of a TSL predecessor, as citizens played—through a methodological approach called "Deep Listening"—a key role in developing the vision for the transformation as well as possible development scenarios. This methodological approach addresses several of the above depicted challenges with regard to the involvement and balanced representation of stakeholders in a TSL (see Chapter 2). It also can be a promising tool for the development of a commonly agreeable vision for a transformation and a motivational incentive to participate over the long time of such a transformation process. In addition, Rybnik faces similar challenges as two of the TRANSFORMER regions (Lower Silesia and to a lesser extent also the Ruhr Valley) and can therefore be of thematical interest.

Deep Demonstration Vienna

The city of Vienna already implemented in 1999 a Climate Protection Programme and put sustainability and climate protection as central guidelines in the Vienna city development plan of 2014 (STEP 2025).⁷² Based on their Smart City Vienna Framework Strategy and the Vienna Climate Guide, Vienna committed to becoming climate neutral by 2040.⁷³

In order to foster a rapid systemic transformation towards a decarbonised city, Vienna identified key levers that focus on different policy groups: "urban renewal and energy supply, urban planning and green infrastructure, mobility, the climate action budget as a steering mechanism, innovation and the local economy, and public participation and social justice".⁷⁴ Out of this portfolio of connected solutions that will be tested in the next years⁷⁵, two of the instruments are particularly interesting for the implementation of a TSL: A "Map of climate protection pioneers"⁷⁶ and the "Participatory budget for climate action".

The lack of networking between research institutions, companies, administrative institutions and civil society actors is regarded as a significant barrier to the implementation of innovations in the area of climate change and resilience. In order to increase the visibility of single stakeholders and to improve



⁷⁰ Sadura et al. 2021

⁷¹ EIT-Climate-KIC 2021c

⁷² Vienna City Administration, Municipal Department 18 (MA 18) – Urban Development and Planning 2014

⁷³ Vienna City Administration, Municipal Department 20 of the City of Vienna – Energy Planning 2022

⁷⁴ City of Vienna 2020; For a detailed description of key levers for transformation change see: Vienna City Administration, Municipal Department 20 of the City of Vienna – Energy Planning 2022

⁷⁵ Such as a platform for integrated mobility solutions for "[c]arbon-free city logistics and mobility to bridge the urbanrural divide, including innovative approaches to last-mile logistics" (EIT Climate-KIC 2020c, 19), "Power Plant roofs" (a combination of PV canopies and the flowering of rooftops for improving the microclimate) or a platform to support the foundation of renewable energy communities ("one-stop-shop"): <u>https://brutkasten.com/klimaschutz-stadt-wien-mitlandkarte-und-deep-demo-auf-dem-weg-zur-dekarbonisierung/</u> (last access: 19.11.2022) [in German only]

⁷⁶ "Landkarte der Klimaschutz VorreiterInnen"



the cross-disciplinary exchange and cooperation of these stakeholder groups, the City of Vienna developed in cooperation with Climate-KIC and the network Ashoka a **Map of climate protection pioneers**.⁷⁷ The map is built on a standardised questionnaire and telephone interviews conducted between November 2019 and January 2020. 30 pre-identified persons were asked to name (up to) three people, who took a pioneering role in making a positive contribution to the development, implementation and dissemination of solutions in the field of climate protection. The respondents were also asked, to assess the type and intensity of the relationships (e. g. close working relationships) with the named persons.⁷⁸ These nominated people were also contacted, interviewed and asked to name three "climate protection pioneers" and their respective relationships to them. At the end of this process, 305 persons were identified. However, the goal of this mapping is not to identify all relevant stakeholders or to create a ranking about their importance with regard to climate protection. The objective is to identify relevant (but sometimes not prominent and therefore "invisible") persons and organisations in order to invite them to an open participation process.⁷⁹ This methodology will be adapted and applied in the TRANS-FORMER project.⁸⁰

Participatory budgets are a governance tool that aim at "increasing transparency and civil control in governing"⁸¹. The first idea for a **Participatory budget for climate action** in the City of Vienna was developed in 2020. Facing political obstacles in the beginning, a Participatory Budget for Climate Action of 6 million Euros was implemented in 2021.⁸² It is now widely regarded as a possible "lever for achieving climate change mitigation and adaptation goals by broadly involving citizens in idea-generation and decision-making processes"⁸³. It might be especially useful to generate completely new ("out-of-thebox") ideas for initiating local climate protection projects in Vienna.⁸⁴ If implemented correctly, it can also be a useful tool for promoting a democratic and socially just transition, by "actively reaching out to [...] traditionally underrepresented groups.⁸⁵

The City of Vienna started the first round of the participation process in three districts in the beginning of 2022: More than 1100 ideas for climate protection and adaptation were proposed by the Viennesse citizens and together with experts further developed into 102 concrete project outlines. A representative jury will now decide, which of the projects will be implemented in the next two years.⁸⁶



⁷⁷ Kesselring 2020 [in German only]. For a beta version see: https://em-

bed.kumu.io/2a03775a3cbf09bf351988affdab4080#ashoka-climate-innovators-map/hauptrolle/249 (last access: 19.11.2022). For a detailed description of the methodology see: Matti et al. 2020.

 ⁷⁸ Analogous translation from German to English. For original (German) wording see: Kesselring 2020, 8
 ⁷⁹ ibid.: 9.

⁸⁰ For future updates see: <u>https://kumu.io/transformer-designing-long-term-systemic-transformation-frameworks-for-re-gions/</u>

⁸¹ Madej 2019, 258

⁸² For the development of the concept and the process of implementation see: <u>https://www.demsoc.org/projects/vienna-climate-team-wiener-klimateam-vienna-participatory-budget-on-climate-action</u> (last access: 19.11.2022)

⁸³ Amann & Hohoff 2022; Aili et al. 2022, 78

⁸⁴ Schott & Naimer-Stach 2021, 12

⁸⁵ Amann & Hohoff 2022

⁸⁶ Stadt Wien n.d.



Lessons learned for the TRANSFORMER project:

The case of Vienna provides an interesting methodological approach to identifying and connecting stakeholders (Map of climate protection pioneers). As this is a prerequisite for enabling all stakeholders to (efficiently and effectively) participate in a large-scale Living Lab, this method addresses one of the above depicted challenges for implementing a TSL (see Chapter 2). This method might also prove to be useful, with regard to identifying stakeholders that are "not so visible" and ensuring the involvement, balanced representation and collaboration of different societal groups (quadruple helix). The second "experiment" that is part of the portfolio—the Participatory budget for climate action—could be a particular useful social innovation for a TSL: as TSLs are designed to operate on a large-scale (in the case of TRANSFORMER a whole region) they face—more than smaller Living Labs that are restricted to local communities or municipalities—the challenge of creating legitimacy for political decisions. The inclusion of citizens in financial decision-making processes (e.g., via a participatory budget for transformational action), could be a useful governance tool that encourages citizens to participate in the transformation process and thus create a sense of ownership and personal responsibility.⁸⁷

Future City_Lab: Reallabor für nachhaltige Mobilitätskultur [Real-world laboratory for a sustainable mobility culture]

Being one of the most important automotive clusters in Europe, the city of Stuttgart and its infrastructure is strongly oriented towards car traffic ("car friendly city"). Facing severe negative impacts of car traffic (such as increased particulate matter, CO₂ emissions, space consumption, traffic jams and noise), the Future City_Lab: Reallabor für nachhaltige Mobilitätskultur aimed at developing solutions to transform the mobility system in the city, focusing on the "cultural dimension of mobility"⁸⁸.

In this Living Lab, citizens, academia, companies and the city administration closely cooperated in order to develop ideas about mobility solutions that conserve resources, support health and physical activities and create a new "quality of life" in the city and region.⁸⁹ To this regard, the Living Lab did not focus on technological innovations or strategies for traffic optimization. In an iterative process, citizens and students of the University of Stuttgart developed ideas for experiments that were designed to generate accessible knowledge about transformational needs, to create spaces for open discussions and to raise awareness for a "culture" of sustainable mobility. In a competition, different projects were selected and implemented beginning in 2016.⁹⁰



⁸⁷ Madej 2019, 258

⁸⁸ Lindner et al. 2021 [in German only]

⁸⁹ ibid., 12

⁹⁰ Such as the temporary conversion of parking space for establishing "creative spaces" by citizens ("Parklets"), a "citizen rickshaw" to bring together younger and older people, or the implementation of a station for a hypothetical balloon-based local transport system (based on Jules Verne) to serve as a place of discussion for citizens about a transformation towards new forms of sustainable mobility. For an overview of the different projects see: <u>http://www.r-n-m.net/projekte/#</u> [in German only] (last access: 19.11.2022)



Part of the Living Lab was the creation of "possibilities for learning about sustainable transformation": For this, new and innovative forms of academic teaching and learning within the field of "sustainable mobility culture" were developed, applied and tested.⁹¹ The "action oriented, explorative, cooperative, and participatory" approach "provided knowledge on systems, goals, and transformations and allowed participants to gain expertise as well as methods and communications skills".⁹² The teaching concept included inter-disciplinary seminars, workshops and field research assignments.

The evaluation of the teaching and learning project showed the great need "for inter- and transdisciplinary teaching formats that address societally relevant issues and respond to the challenges of sustainability".⁹³ In addition, the project showed that Living Labs "can be a nucleus and driver for systematically implementing inter- and transdisciplinary, research-oriented teaching that promotes education for sustainability" ⁹⁴.

Lessons learned for the TRANSFORMER project:

Learning plays a central role in the discussions about sustainability transitions, as it is a necessity for gaining a deeper understanding and awareness of the problems and possible solutions for transformational needs. It is therefore regarded as a prerequisite for participation and the creation of a sense of ownership for the transformation.⁹⁵

The *Reallabor für nachhaltige Mobilitätskultur* is a promising example that shows, how learning possibilities can be designed in order to be conducive for the transition process. Therefore, TSLs should integrate such teaching and learning concepts. However, as TSLs focus on systemic transformations that take several years or even decades, such learning possibilities should not be limited to the universities but should include elementary and high school students as well. To this regard, the learning process should be mutual: teaching students the complexity and need for transformational change and integrating their perspectives and ideas about a sustainable future vice versa. This will contribute to address the challenge of: 1) ensuring a balanced representation of different societal groups and enabling all stakeholders to (efficiently and effectively) participate in a large-scale Living Lab, 2) ensuring that stakeholders are motivated to participate over the long time of a systemic transformation, lasting several years or even decades, and 3) creating a common vision for a transformation on a regional scale among the variety of different stakeholders (see Chapter 2).



⁹¹ Pfau & Uhl E. 2021

⁹² ibid., 1

⁹³ ibdi., 4

⁹⁴ ibdi., 4

⁹⁵ Hicks 2014; Van Mierlo et al. 2020



Score - The Coastal City Living Lab⁹⁶

Climate change will lead to more frequent extreme weather events, sea-level rise and coastal erosion.⁹⁷ Therefore, coastal cities have to adapt and develop strategies to rapidly, equitably and sustainably enhance their climate resilience. The Score project addresses these challenges by using Living Lab methodologies to develop and implement integrated solutions in a network of ten coastal cities. Starting in 2021, the project will focus in the four years of its duration on "Smart Technologies and Digital Platforms" (such as a "GIS early warning support platform" or "Low-cost sensing technologies and citizen science kits") and "Ecosystem Based Approaches" (such as Floodplain management", "Reforestration" or "Sustainable Agriculture"). Citizens will be involved in the design and—via methods of citizen science—assessment of the different measures.⁹⁸

One of the challenges of this large-scale Living Lab is to develop a "methodological framework for the socio-economic assessment of adaptation measures to climate change"⁹⁹ in order to "help decision-makers prioritise adaptation interventions [...] from a cost-effective and social perspective"¹⁰⁰. Therefore, this project addresses on of the above depicted challenges with regard to implementing a TSL: to assess and measure the effects an effectiveness of multiple connected experiments on complex sociotechnical regimes.

In this project, two different methods will be applied: the "Multiple criteria analysis" (MCA) and the "Cost-benefit analysis" (CBA). They will not be described in detail, as they are well known and often applied (see Table 3 for a brief description).¹⁰¹ However, these methodologies face some limitations¹⁰² and need to be adapted to the specificities of the ten different coastal cities "in terms of the location and scale of the study area, the main hazards and sectoral impacts that need to be addressed".¹⁰³



⁹⁶ Smart control of the climate resilience in European coastal cities

⁹⁷ Etxebarria et al. 2021, 6

⁹⁸ Etxebarria et al. 2021. For an overview of the measures and programms see: <u>https://score-eu-project.eu/</u> (last access: 19.11.2022)

⁹⁹ Etxebarria et al. 2021, 6

¹⁰⁰ Etxebarria et al. 2021, 36

¹⁰¹ For the different steps of the two methodologies see: Etxebarria et al. 2021, 22-49.

¹⁰² With regard to the MCA for example the "boundaries of participation, including the choice of stakeholders" and that the "process may be technically [too] complex for some stakeholders, particularly in the assessment of options and weighting of criteria" (Etxebarria et al. 2021, 26) and with regard to the CBA for example the "lack of capacity when trying to economically assess all benefits" or the "intangible aspect of environmental aspects" (ibid., 38).

¹⁰³ Etxebarria et al. 2021, 49



 Table 3: Summary of main differences between MCA and CBA. Source: Etxebarria et al. 2021, 10-11 (table complemented and slightly adapted).

	Multiple criteria analysis (MCA)	Cost-benefit analysis (CBA)	
Description	The Multiple criteria analysis allows to integrate	e The "CBA is a socio-economic assessment tool that	
	stakeholders and is therefore regarded "as an ap-	decision-makers often rely on to value and compare	
	propriate method for the participatory-based as-	different adaptation measures and to make public	
	sessment [] MCA is a useful participatory-based	choices []. Adaptation measures are prioritized in	
	tool to solve complex decision problems, allowing	terms of an economic efficiency criteria, i.e., the	
	individuals to compare different options, accord-	maximization of the difference between benefits	
	ing to pre-defined criteria and to come up with an	and costs expressed in monetary terms. In compari	
	overall score for each option, thus being able to	son with classic CBA, which only includes market-re-	
	prioritize them."	lated costs and benefits, the social CBA also consid	
		ers non-market costs and benefits."	
Level of com-	Low	High	
plexity ¹⁰⁴			
Type of as-	Participatory	Expert-based	
sessment			
Stakeholder	Yes	No	
involvement			

Lessons learned for the TRANSFORMER project:

The Score project is large-scale Living Lab, that is designed to have specifics impacts on complex ecological and socio-economic systems. Therefore, this project faces one of the challenges, that future TSLs will also have to address: to assess the effects and effectiveness of multiple connected experiments on complex socio-technical regimes in order to provide a basis for decision making. Therefore, the evaluation of the combined application of a Multiple criteria analysis and a Cost-benefit analysis will provide valuable insight for TSLs about possibilities and limitations to measure and assess multiple connected experiments. As the next case shows, having such an assessment is not only a prerequisite for decisionmaking but it may also help to overcome resistance and generates support for transformational change.

Climate Ready Clyde - Building a more resilient, prosperous and fairer Glasgow City Region

A report about the impact of climate change on the Glasgow City Region showed, that the risk of bad weather events like frequent floods, storms and extreme heat will increase significantly due to climate change.¹⁰⁵ The resulting damages to the infrastructure and to the built and natural environment is estimated to have an annual economic cost for the Glasgow City Region of "£400 million each year by the 2050s [...]. In many cases these impacts will fall on disadvantaged and vulnerable groups."¹⁰⁶

The report generated an awareness for the urgent need to act and it clearly showed, that "[a]dapting to climate change will alleviate future costs to public services, and reduce overall pressures".¹⁰⁷ In order



¹⁰⁴ (Knowledge, technical skills, time, and cost requirements)

¹⁰⁵ England et al. 2018; cf. IPCC 2022

¹⁰⁶ Climate Ready Clyde 2018, 3

¹⁰⁷ Climate Ready Clyde 2018, 4



to develop a strategy for the adaptation to climate change, the "Clyde Rebuilt" project was initiated by Climate Ready Clyde and EIT Climate-KIC in 2020. The project had the objective to bring all relevant stakeholders together (quadruple helix) and supporting their collaboration for identifying and developing possible solutions for the transformation. The idea was to move away "from stand-alone projects like concrete flood walls to more systemic solutions that might couple river restoration with wetland creation, flood forecasting and warning systems, and new insurance mechanisms"¹⁰⁸.

The development of a "Vision and Theory of Change for a Climate Ready Glasgow City Region"¹⁰⁹ and the specification of the conceptual approach for transformational adaptation stood at the beginning of the project.¹¹⁰ Build upon that, Clyde Rebuilt conducted together with the stakeholders of the region a mapping of the political, economic and cultural systems in order to identify "new levers in Glasgow City Region's systems which have the potential to stimulate more transformational change, before piloting, evaluating, adjusting and scaling-up. These levers have then been used to identify large-scale innovation actions which will significantly accelerate adaptation progress."¹¹¹ Part of the Clyde Rebuilt project was to assess the economic costs of climate change and—with regard to the identified possible solutions—the costs of adaptation in the Glasgow City Region.¹¹² This "economic case" was a crucial lever, that helped to overcome opposition (e. g. with regard to the expected costs for transformation and the apprehension of short-term losses for the economy¹¹³) and to generate acceptance for the transformation towards a climate resilient Glasgow City Region.

The work of Clyde Rebuilt supported the development of the "Glasgow City Region Climate Adaptation Strategy"¹¹⁴ which identified 11 strategic "interventions that introduce a broader systemic perspective to move from incremental to transformative change to be achieved by 2030"¹¹⁵. They are addressed by *Flagship actions*, which are an early initial package of bundled and interconnected large-scale measures which are required to be addressed simultaneously in order to support the systems level approach of the Adaptation Strategy over the next five years.¹¹⁶ They are designed to help implement "multiple interventions in the Strategy and invite a wide cohort of actors—public, private, third sector and communities—to coalesce behind them."¹¹⁷



¹⁰⁸ Climate Ready Clyde 2020a

¹⁰⁹ Climate Ready Clyde 2021a, 10-12; For a detailed description see: Climate Ready Clyde 2020b

¹¹⁰ Watkiss et al. 2020

¹¹¹ Ibid., 25

¹¹² Climate Ready Clyde 2021c

¹¹³ Climate Ready Clyde 2021c

¹¹⁴ Climate Ready Clyde 2021a, 28

¹¹⁵ EIT Climate-KIC 2021c; These interventions focus on diverse areas in the realm of adaptation (from supporting the ability of organisations, businesses and communities to adapt to climate change or to enable and equip individuals and communities to participate in adaptation, focusing on the most vulnerable). For example, one of the interventions is to establish the Glasgow City Region as a Living Lab for climate adaptation, to fill evidence gaps with regard to "a robust understanding of potential risk, adaptation options and how they can be implemented" (Climate Ready Clyde 2021a, 57). For a detailed overview of the interventions and connected measures see: Climate Ready Clyde 2021a, 26-59.

¹¹⁶ Climate Ready Clyde 2021a, 65.

¹¹⁷ Climate Ready Clyde 2021a, 67-68



One of these Flagship Actions is highlighted in this summary, as it reflects the complexity of systemic change and the need to address all elements of societal systems for a fundamental systemic transformation: "The use of culture to help achieve transformational adaptation"¹¹⁸.

The role of creative and cultural approaches for supporting transformations to sustainability as well as for adaptation to the effects of climate change is increasingly recognised among scholars and practitioners.¹¹⁹ Therefore, in the "Climate Adaptation Strategy" a new approach is developed and tested that focuses on "the use of cultural and creative practices"¹²⁰ in order to engage citizens, reach different constituencies and to "build a groundswell of much needed diversity, new voices and different ways of responding to the challenge".¹²¹ To this regard, cultural and creative practices can create spaces for "transformative imagination" in order to explore and imagine possible alternative futures and visions which contribute to promoting a necessary shift in mindsets for the transformative process.¹²² Cultural practices can also support the development of "informed perspectives on adaptation and resilience"¹²³. This will contribute "to build greater connection and ownership, so that individuals and communities are enabled and empowered to participate in adaptation processes" ¹²⁴. Some of the already implemented projects promoting the use of cultural practices for transformational adaptation are the *Glasgow Women's Library, Lateral North* and *Rig Arts* which focus on empowering communities of interest.¹²⁵

Lessons learned for the TRANSFORMER project:

The case of Glasgow City Region was not only chosen because it fulfils most criteria of a TSL predecessor.¹²⁶ First of all, it exemplifies that with regard to climate change mitigation and adaptation has to be thought together. Even more interesting is the insight, that an "Economic Case"¹²⁷ significantly helps to overcome opposition with regard to the expected costs for transformation and the apprehension of short-term losses for the economy and it can generate acceptance for the transformation towards a climate resilient region. An "Economic Case" can, therefore, address the challenge of how to overcome

¹²⁷ That "[a]dapting to climate change will alleviate future costs to public services, and reduce overall pressures" (Climate Ready Clyde 2018, 4)



¹¹⁸ Twist et al. 2020

¹¹⁹ Watkiss et al. 2020, 24

¹²⁰ Climate Ready Clyde 2021a, 71; (As part of "Flagship Action 3: Increasing community agency in adaptation processes through culture and creative practice")

¹²¹ Climate Ready Clyde 2021a, 71

¹²² Twist et al. 2020, 11

¹²³ Climate Ready Clyde 2021a, 71

¹²⁴ Climate Ready Clyde 2021a, 71

¹²⁵ Climate Ready Clyde 2022

¹²⁶ However, the case of Climate Ready Clyde implicates, that TSLs may not (necessarily) be understood as a single large-scale project with a concise and clear process. A TSL can also be a "structure" that consists of different projects adding and building upon on each other and evolving during the process. To this regard, it is also important to understand, that the multiple solutions (experiments) require different methodological approaches. Therefore, for example Living Lab methods may only be applied in certain projects and measures of the TSL process.



resistance of influential stakeholders like large companies that are still dependent on fossil resources in industrialised regions, such as the Ruhr Valley or Lower Silesia (see Chapter 2). In addition, the case also exemplifies, that changing whole socio-economic systems is a complex undertaking that can (or has to) be addressed in many ways. To this regard, the use of cultural practices and processes can be an essential element, to create a common vision, to engage citizens and reach different constituencies and to empower marginalised groups and communities in the transformation processes. All of which are key challenges which have to be addressed in the process of implementing and managing a TSL.

The cases described in this chapter all possess key characteristics of a TSL predecessor and show the diversity of different methodological approaches and possible solutions to foster a fundamental transformation towards climate neutrality. They also provide valuable insights with regard to the challenges of implementing a TSL (see Chapter 2). The following chapter will reflect these findings with regard to the two research questions and provide an indication for the need for further research and conceptualization of the TSL approach.

6 Summary and conclusion

The TSL concept is based on the realization, that the urgency and complexity of climate change requires an approach, which allows to simultaneously develop and test a portfolio of large-scale systemic solutions for climate neutrality. However, the TSL concept is a new and still evolving concept which is only vaguely outlined yet. Therefore, this Deliverable had to elaborate a working definition and identify common characteristics of TSLs. This provided the basis to identify TSL predecessors in order to evaluate, assess, and compare their conceptual framework and methodological approach for accelerating the transition towards climate neutrality. This will contribute to the development of the methodological framework of the TSL concept and provides the conceptual grounding of evaluation and impact assessment within the TRANSFORMER project. To this regard, two questions were guiding this Deliverable: *How can TSLs be characterized and what kind of projects and structures can be regarded as their predecessors?* And build upon that: *What is the conceptual framework and methodological approach of the TSL predecessors to accelerate the transition towards climate neutrality*?

With regard to the first research question, TSLs were defined in this Deliverable as *Large-scale Living Labs for systemic transformation*, which can be characterized by three core features:

- 1. Adaptation and application of Living Lab methodologies
- 2. Aiming at large-scale systemic solutions for a rapid sustainable transformation
- 3. Applying a portfolio approach of measures (experiments) and using multiple leverage points for systemic change simultaneously

This first outline of the TSL concept is still up for (academic) debate and currently lacks an answer to the questions of what "large-scale" means in practice (city, regional or national scale) and which "scope" the systemic transformation has to encompass to qualify as a TSL (partial/incremental or complete/radical transformation). However, based on the identified characteristics, key challenges for implementing a





TSL—especially with regard to stakeholder management and suitable governance structures—could be identified. These expected challenges guided the selection and evaluation of TSL predecessors. To this regard, over 70 projects that have certain characteristics of a TSL or are of interest to the TRANSFORMER project with regard to their methodological approach were collected in a database. Seven of these TSL predecessors were selected and evaluated.

The cases were selected because they all chose a portfolio approach that aims at large-scale systemic solutions for a sustainable transformation and they all applied—at least some of the—methodologies that characterise a TSL. They vary in their geographic scale (city, region and whole country) and their thematic focus, but they all provide valuable insights with regard to some of the above depicted methodological challenges of implementing a TSL (see Figure 4).

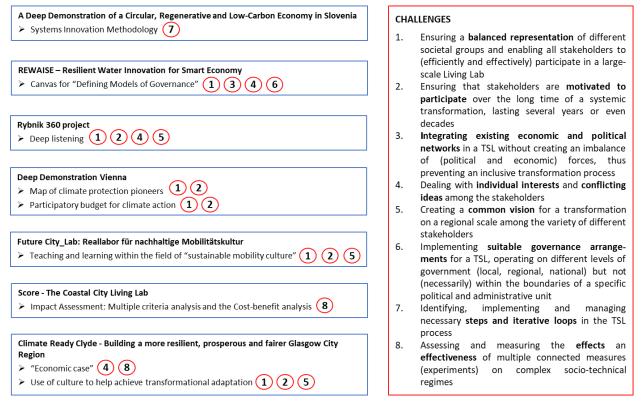


Figure 4: Overview of the cases that address identified challenges for implementing TSLs. Source: own design.

The gained knowledge about the experiences of the selected cases will contribute to the conceptualization of the TSL approach and the development of a suitable methodological framework for the TRANS-FORMER project: the "Deep Demonstration in Slovenia" (Systems Innovation Methodology) will, for example, provide valuable insights for developing a "Transition Super-Lab roadmap blueprint process" (Task 4.1, WP4) and the impact assessment applied in "Score" (MCA & CBA) can be beneficial for the development of an "Assessment framework for Transition Super-Labs" (Task 5.1, WP5) and for the "Impact Evaluation of TSLs pilots in regions" (Task 5.2, WP5).

Generally, the various and diverse methods and tools applied in the identified TSL predecessors (see Figure 4 and the attached Table 4, p. 37) will be selectively evaluated and added to the TRANSFORMER "Toolkit & Knowledge Hub" (Task 4.2 & 4.3, WP4). To that regard, some of the above depicted concepts,





methods and tools will be applied in the next two years in the TRANSFORMER project, for example with regard to the identification and collaboration of stakeholders (Map of climate protection pioneers) and for finding suitable governance arrangements in the TSLs (Canvas for "Defining Governance Models"). This will particularly contribute to the envisaged task of "Enabling coalitions and develop vision for Super-Labs" (Task 3.1, WP3) and for "Setting-up Super-Lab uses cases" (Task 3.2, WP3).

However, in order to adapt and apply these methods and tools in this project, we first need to gain a deeper understanding about the different (political, economic and social) contexts in the four TRANS-FORMER regions: Emilia Romagna (Italy), Lower Silesia (Poland), Ruhr Area (Germany) and Western Macedonia (Greece). To this regard, case studies will be conducted in order to provide a solid evidence-base for decision-making regarding their potential for transformation and to gain a deeper understanding about the specific challenges in these regions for the implementation of the TSLs (Task 2.2 of WP2).

As described above, the TSL concept is designed to be transferred to other regions in which particularly difficult transition efforts will be required. Therefore, a quantitative mapping based on statistical data will be conducted to identify regions in Europe that would benefit the most from the TRANSFORMER Super-Lab approach (Task 2.1, WP2).

The gained knowledge of the cases studies, the quantitative mapping and the real-world experiences of implementing TSLs in the TRANSFORMER regions will be analysed and fed into the development and further conceptualization of the TSL approach (Task 2.3, WP2). This will contribute to find solutions for achieving the goal of climate neutrality.

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Attachments

Table 4: Database of TSL predecessors and projects of methodological or thematic interest. Source: own compilation. ¹²⁸

ID#	Name	Description	Thematical	Methodological	Region	Country	Scale
1	Adelaide Living La- boratory Hub	Research to build a stronger evidence base to support government policy and planning and industry delivery aimed at low carbon living (https://www.unisa.edu.au/research/re- search-node-for-low-carbon-living/research- projects/adelaide-living-laboratory/)		munities rating tool [+Living Lab method.]	key develop-	Australia	local
2	Agro Living Lab	Cooperation of over 200 farmers and local agrotechnology companies to work together on developing and testing agrotechnology machinery to improve user-centered design (https://www.interregeurope.eu/good-prac- tices/agro-living-lab-eliving-lab-projects)		method.]	Länsi-Suomi	Finland	regional
3	Andalusian Living Lab	Cooperation of public administrations, local civil protection agencies, fire fighters and technological enterprises to develop, test and apply digitilisation systems, technologies and techniques reducing the risk of forest fires (https://desira2020.eu/andalucia-spain/)	manage- ment [For- estry/Agri- culture]	method.]	Andalucia	Spain	regional
4	Andorra Living Lab	Space for startups to test and apply products, technologies and services and to network on a national level (https://andorralivinglab.ad/)		Quadruple Helix model [Living Lab method.]	Andorra	Andorra	national
5	Apollon	Advanced pilot composed of four thematically focussed Living Lab experiments to demon- strate the positive impacts of collaborative cross-border Living Lab networking (https://cordis.europa.eu/project/id/250516)	tional busi- ness net-	-	- ·	Portugal, Sweden, Finland, Nether- lands	interna- tional
6	Blue City Lab	Platform and labspace to support the transi- tion towards a biocircular economy and to connect pioneers within the biocircular com- munity (https://www.bluecitylab.nl/)	-	-	Rotterdam	Nether- lands	labora- tory
7	energy based	Testing, demonstration and development of renewable energy sources as well as educa- tional training and research of the environ- mental and socioeconomic sustainability and impact of the renewable energy sources (https://research-and-innovation.ec.eu- ropa.eu/system/files/2020-04/ec_rtd_re- sponsible-island-bornholm.pdf)		[Living Lab method.] - does not explicitly mention it	Island of Born- holm	Denmark	Local

¹²⁸ The database was edited by Matthew Federico Becker (undergraduate assistant of the working group "Urban and regional economics" at the Ruhr University Bochum).





ID#	Name	Description	Thematical	Methodological	Region	Country	Scale
8	Living Lab	Public-private framework for the develop- ment and testing of connected and auto- mated vehicle technologies for the interna- tional automotive and research industry through virtual simulation, laboratories, prov- ing grounds and public roads (https://space.uitp.org/initiatives/catalonia- living-lab-barcelona-av-spain)		[Living Lab method.]	Catalonia	Spain	Local
9	trobothnia Living Lab	Involving stakeholders of the Kokkola Indus- trial Park, the Living Lab will study the impact of digitalisation in the bioeconomy sector while focussing on the sustainable transition from an agricultural-based rural society to a smarter-based rural environment through digitilisation and the use of the social capital of the area (https://desira2020.eu/central-os- trobothnia-finland/)	tion	method.]	bothnia	Finland	regional
10	ven	City administration put together a portfolio of strategic experiments to achieve systemic, holistic change and deep decarbonisation in the neighbourhood Kessel-Lo while involving the citizens from the very start (https://www.climate-kic.org/news/citizens- inspire-a-car-free-leuven/)		[Living Lab method.] - does not explicitly mention it	Kessel-Lo in Leu- ven	Belgium	local
11		Set of living laboratories on innovation and implementation processes for sustainable ur- ban logistics that will exchange, experience and develop methodologies for implementa- tion transfer between cities and between companies. Objective is to develop knowledge and solutions for emission-free city logistics in urban centres. (https://ec.eu- ropa.eu/inea/en/horizon-2020/pro- jects/h2020-transport/urban-mobility/city- lab)	logistics	[Living Lab method.]	don, Oslo, Paris,	Nether- lands, Bel- gium, United Kingdom, Norway, France, It- aly	local
12	Climate Ready Clyde - Building a more resili- ent, pros- perous and fairer Glas- gow City Region			-	Glasgow	United Kingdom	regional



ID#	Name	Description	Thematical	Methodological	Region	Country	Scale
13	Decarboni-	Cooperation of freight, transport and trade	Low carbon	Creation of a	Bologna	Italy	regional
	sation Com-	associations, logistics companies, local munic-	logistics	"climate action			
	munity for	ipalities and the community that uses freight		ecosystem"			
	Logistics	village services to help reduce the logistics					
		sector's carbon footprint both locally and sys-					
		tem-wide by testing solutions in real-life					
		freight villages (EIT-Climate-KIC-Innovation-					
		Projects-Portfolio-2020.pdf)					
14	Deep	Collaboration between the Joint Research	Low carbon	Deep Demon-	Slovenia	Slovenia	national
	Demonstra-	Centre, EIT Climate-KIC, EIT RawMaterials and	economy	stration			
	tion of a cir-	the Slovenian government to implement a cir-		(https://www.cli			
	cular, re-	cular, regenerative and low-carbon economy		mate-			
	generative	(https://s3platform.jrc.ec.eu-		kic.org/wp-con-			
	economy:	ropa.eu/en/w/the-jrc-supports-the-project-		tent/up-			
		aiming-at-decarbonising-slovenia-a-deep-		loads/2021/10/			
		demonstration-of-a-circular-regenerative-		Deep-Demon-			
		and-low-carbon-economy-)		strations-Meth-			
				odology.pdf)			
15	Deep	Three lead experiments based on the goals	Ecologically	Deep Demon-	Vienna	Austria	local
	Demonstra-	and objectives of the Smart City Wien Frame-	and socially	stration			
	tion Vienna	work Strategy by the city administration: The	sustainable	(https://www.cli			
		path to equitable distribution of blue and	urban devel-	mate-			
		green infrastructure; Urban public spaces of	opment	kic.org/wp-con-			
		the future; The path to carbon-neutral neigh-		tent/up-			
		bourhoods		loads/2021/10/			
		(https://smartcity.wien.gv.at/deep-demon-		Deep-Demon-			
		stration-als-ideenwerkstatt/)		strations-Meth-			
				odology.pdf)			
16	Deep	Collaboration between the port of Piraeus,	Low carbon	Deep Demon-	Piraeus, Valen-	Greece,	national
		the port of Valencia and the Cyprus Deputy	logistics	stration	cia, Cyprus	Spain, Cy-	
	tions of	Ministry of Shipping to develop an experi-		(https://www.cli		prus	
	net-zero	mental portfolio for prioritised areas to test		mate-			
		impactful solutions, support mutual learning		kic.org/wp-con-			
	resilient	and communicate to a wider audience to cre-		tent/up-			
	maritime	ate a circular, inclusive, net-zero-emissions		loads/2021/10/			
		maritime sector		Deep-Demon-			
	prus	(http://www.unsdsn.gr/deep-demonstra-		strations-Meth-			
		tions-for-zero-net-emissions-in-the-)		odology.pdf)			
17	Deep	Collaboration between the port of Piraeus,		-		Greece,	local
		the port of Valencia and the Cyprus Deputy	logistics	stration	cia, Cyprus	Spain, Cy-	
		Ministry of Shipping to develop an experi-		(https://www.cli		prus	
	net-zero	mental portfolio for prioritised areas to test		mate-			
	emissions,	impactful solutions, support mutual learning		kic.org/wp-con-			
	resilient	and communicate to a wider audience to cre-		tent/up-			
	maritime	ate a circular, inclusive, net-zero-emissions		loads/2021/10/			
		maritime sector		Deep-Demon-			
	raeus	(http://www.unsdsn.gr/deep-demonstra-		strations-Meth-			
		tions-for-zero-net-emissions-in-the-)		odology.pdf)			





ID#	Name	Description	Thematical	Methodological	Region	Country	Scale
18	Deep	Collaboration between the port of Piraeus,		Deep Demon-	Piraeus, Valen-	Greece,	local
	demonstra-	the port of Valencia and the Cyprus Deputy	logistics	stration	cia, Cyprus	Spain, Cy-	
	tions of	Ministry of Shipping to develop an experi-		(https://www.cli		prus	
	net-zero	mental portfolio for prioritised areas to test		mate-			
	emissions,	impactful solutions, support mutual learning		kic.org/wp-con-			
	resilient	and communicate to a wider audience to cre-		tent/up-			
	maritime	ate a circular, inclusive, net-zero-emissions		loads/2021/10/			
	hubs: Va-	maritime sector		Deep-Demon-			
	lencia	(http://www.unsdsn.gr/deep-demonstra-		strations-Meth-			
		tions-for-zero-net-emissions-in-the-)		odology.pdf)			
19		Partnership of the Municipality of Milano, the		Deep Demon-	Milan	Italy	local
		municipal Agency for Mobility and Environ-					
	Milano	ment, Poliedra, the Pilitecnico di Milano - En-		(https://www.cli			
		ergy Department, Dark Matter Labs and Bank-	opment	mate-			
		ers Without Boundaries to contribute to the		kic.org/wp-con-			
		reduction of the carbon footprint of the city		tent/up-			
		of Milan and to the achievement of the "Car-		loads/2021/10/			
		bon Neutral City" target by 2050		Deep-Demon-			
		(https://www.poliedra.polimi.it/en/pro-		strations-Meth-			
		ject/deep-demonstrator-milano-2/)		odology.pdf)	-	-	
20		Implementation of new concepts and tech-		. 0	Darmstadt	Germany	local
	-	nologies to optimize energy consumption on	energies	method.]			
		multiple scales in the city of Darmstadt, coor-					
		dinated by the Technical University of Darm-					
	in Darm-						
	stadt	giewendebauen.de/en/project/delta-darm-					
		stadt_energy_laboratory_for_technolo-					
		gies_in_application)					
21	Eindhoven	Cooperation of the municipality of Eindhoven	-	-	Eindhoven	Nether-	local
	City Lab	and the Stichting MAD to improve the urban		model		lands	
		environment by involving experts and citizens					
		and digital innovations	opment				
		(https://www.stadslabeindhoven.nl/over-					
		ons/)		-			
22	Energise	ENERGISE is an innovative pan-European re-			-	Denmark,	local
	Living Labs	search	energies	method.]	land, Germany,		
		initiative to achieve a greater scientific under-				Germany,	
		standing of the				Hungary,	
		social and cultural influences on energy con-			lands, Switzer-		
		sumption.				Nether-	
		ENERGISE develops, tests and assesses op-			Kingdom	lands,	
		tions aimed at				Switzer-	
		transforming the quality and quantity of en-				land,	
		ergy				United	
		use among households and communities				Kingdom	
		across Europe. (http://www.energise-pro-					
		ject.eu/about-ENERGISE)					





ID#	Name	Description	Thematical	Methodological	Region	Country	Scale
23	Energy Cap-	Smart energy partnership for the West Mid-	Entrepre-	-	West Midlands	United	regional
	ital	lands (UK) consisting of energy infrastructure	neurship			Kingdom	
		providers, local authorities, academia, diverse	and renewa-				
		businesses and energy entrepreneurs to make	ble energies				
		the West Midlands attractive to develop and					
		deliver innovative clean energy systems and					
		associated businesses in the world and secure					
		the necessary investments and powers					
		(https://energy-capital-					
		tfwm.hub.arcgis.com/)					
24	Energy Lab	Cooperation of DTU, City of Copenhagen, CPH	Renewable	Smart city en-	Nordhavn in Co-	Denmark	local
	Nordhavn	City & Port Development, HOFOR, Radius,	energies	ergy lab	penhagen		
		ABB, Danfoss, COWI, Nerve Smart Systems,					
		Glen Dimplex, METRO THERM and the Pow-					
		erLabDK facilities to develop and and demon-					
		strate future energy solutions by using Copen-					
		hagen's Nordhavn as a full-scale smart city en-					
		ergy lab and demonstrating how electricity					
		and heating, energy-efficient buildings and					
		electric transport can be integrated into an in-					
		telligent, flexible and optimized energy sys-					
		tem (http://www.energylabnordhavn.com/)					
25	Energy Liv-	Private association researching, replicating,	Renewable	[Living Lab	-	-	-
	ing Lab	distributing and communicating living lab-	energies	method.]			
		concepts in the energy sector (https://ener-					
		gylivinglab.com/de/)					
26	Ener-	Test site of Copenhagen Solutions Labs for De-	Renewable	[Living Lab	Copenhagen	Denmark	local
		centralised Energy and Blockchain solutions	energies	method.]			
		exploring the potential of utilising renewable					
		energy sources in an existing urban environ-					
		ment and connecting them to an open block-					
		chain for energy as well as showcasing and					
		demonstrating to citizens, investors and deci-					
		sion makers a proof of concept for scaling in					
		other parts of Copenhagen and in other cities					
		(https://cphsolutionslab.dk/en/news/ener-					
		gyblock)		_			
27	00	Partnership of the Fondazione Edmund Mach,	Resilience	Deep Demon-		Italy	regional
		Hub Innovazione Trentino and Università di			mites		
		Trento DICAM to create resilient communities		(https://www.cli			
		in the Dolomites (https://www.climate-		mate-			
		kic.org/news/dolomites-forging-climate-resil-		kic.org/wp-con-			
		ience/)		tent/up-			
				loads/2021/10/			
				Deep-Demon-			
				strations-Meth-			
				odology.pdf)			





ID#	Name	Description	Thematical	Methodological	Region	Country	Scale
28	Future	Living Lab coordinated by the University of	Mobility	[Living Lab	Stuttgart	Germany	local
	City_Lab:	Stuttgart to explore and develop concepts for		method.]			
	Reallabor	sustainable mobility by involving science, ad-					
	für na-	ministration, companies and citizens					
	chhaltige	(https://elib.uni-stuttgart.de/bit-					
	Mobili-	stream/11682/11088/1/Reallabor-RNM-					
	tätskultur	Zines-Intro-2020.pdf)					
29	Gipuzkoa	Collaboration of the the Basque Government,	Economi-	Deep Demon-	Gipuzkoa	Spain	regional
		the Provincial Council of Gipuzkoa, the OECD,	cally, socially	stration			
		a wide range of local agents and EIT Climate-	and environ-	(https://www.cli			
		KIC to transform Gipuzkoa through inclusive,	mentally	mate-			
		participatory decision making, new business	sustainable	kic.org/wp-con-			
		ecosystems and co-ownership of solutions to	regional de-	tent/up-			
		become more economically, socially and envi-	velopment	loads/2021/10/			
		ronmentally sustainable (https://www.cli-		Deep-Demon-			
		mate-kic.org/news/gipuzkoa-quest-for-sus-		strations-Meth-			
		tainability/)		odology.pdf)			
30	GreenHy-	Collaboration of multiple energy companies	Renewable	[Living Lab	central Germany	Germany	regional
	droChem	and research institutions for a living lab to	energies	method.]			
		produce, transport, store and use ecologically					
		sustainable hydrogen in central Germany					
		(https://www.imws.fraunho-					
		fer.de/de/presse/pressemitteilungen/green-					
		hydrochem-reallabor-wasserstoff.html)					
31	Helsinki Liv-	Cooperation between Helsinki City and the	Digitalisa-	[Living Lab	Helsinki-	Finland,	regional
	ing Lab	Helsinki-Uusimaa Region and Tallinn aimed at	tion	method.]	Uusimaa, Tallinn	Estonia	
		gaining a deeper understanding of social de-					
		velopments in rural and peri-urban areas					
		around the two cities as well as issues that					
		pertain to digital services and other novel so-					
		lutions that enable multiple locations for life					
		and work, rural and urban (https://rural-ur-					
		ban.eu/living-lab/helsinki)					
32	Hubs for	Public-private collaboration to achieve a leap	Decarboni-	[Living Lab	TBD	TBD	regional
	Circularity	forward towards circularity and carbon neu-	sation of re-	method.]			
		trality in the use of resources (feedstock, en-	gional devel-				
		ergy and water) in a profitable way within lo-	opment				
		cal contexts connecting various regional					
		stakeholders (industry, SMEs, local authori-					
		ties, educational institutions and civil society)					
		(https://www.as-					
		pire2050.eu/p4planet/hubs4circularity)					





ID#	Name	Description	Thematical	Methodological	Region	Country	Scale
33	INTERREG	New integrated planning and partnership	Economi-	[Living Lab	Norrköpping,	Sweden,	local
	Central Bal-	models for brownfield regeneration are cre-	cally, socially	method.]	Tallinn, Turku,	Estonia,	
	tic (ERDF) /	ated and tested in practice in Norrköping, Tal-	and ecologi-		Riga	Latvia	
	Baltic Ur-	linn, Turku and Riga and made available to all	cally sustain-				
	ban Lab: In-	cities in the region to help them in revitaliza-	able urban				
	tegrated	tion of urban space and support the develop-	develop-				
	Planning	ment of smart, sustainable city districts with	ment				
	and Part-	high-quality living and working environments.					
	nership	(https://www.balticurbanlab.eu/)					
	Model for						
	Brownfield						
	Regenera-						
	tion						
34	Irish Agri-	Partnership of the Ministry of Agriculture,	Agriculture	Deep Demon-	Ireland	Ireland	national
	Food Deep	Food and the Marine of Ireland and EIT Cli-		stration			
	Demonstra-	mate-KIC to support national climate action in		(https://www.cli			
	tion	the agriculture and food sector		mate-			
		(https://www.climate-kic.org/press-re-		kic.org/wp-con-			
		leases/eit-climate-kic-joins-forces-with-the-		tent/up-			
		government-of-ireland-to-stimulate-climate-		loads/2021/10/			
		innovation-in-the-agri-food-sector/)		Deep-Demon-			
				strations-Meth-			
				odology.pdf)			
35	Karlsruhe	Collaboration of scientific institutions, the	Mobility	[Living Lab	Karlsruhe	Germany	regional
	Mobility	public sector and transportation companies		method.]			
	Lab	to develop and test innovations for local pub-					
		lic transportation and urban and regional mo-					
		bility (https://www.it-					
		trans.org/en/about/news/insights-into-the-					
		mobility-of-tomorrow-region-is-a-pioneer-in-					
		terms-of-sustainable-mobility-develop-					
		ment.html)					
36	Landscape	Strategy developed by local stakeholders in		. 0	Ferrara	Italy	regional
	Metropolis	Ferrara to develop a sustainable mobility net-		method.]			
		work in the Po					
		river delta to improve connectivity between					
		the City of Ferrara and surrounding municipal-					
		ities, with the broader goal					
		of regenerating the delta landscape and re-					
		versing trends					
		of population loss affecting rural communities					
		by designing and executing experiments for					
		citizens to experience new and clean ways of					
		travel via water and land (EIT-Climate-KIC-In-					
		novation-Projects-Portfolio-2020.pdf)					





ID#	Name	Description	Thematical	Methodological	Region	Country	Scale
37	LIVING LAB URBAN AREA – MANCHES- TER LivingLab	Cooperation of the Greater Manchester Com- bined Authority and the University of Man- chester to fight energy poverty in Greater Manchester whilst engaging with and building upon the Local Energy Advice Programme that provides free advice and support to en- ergy poor and vulnerable households (https://www.step-in-project.eu/urban-liv- ing-lab/) Collaboration of Wageningen University & Re-	energies	method.]	Greater Man- chester Amsterdam	United Kingdom Nether-	regional
30	Hasselt	search and the Amsterdam Institute of Ad- vanced Metropolitan Solutions to monitor and study the spatiotemporal character of in- door and outdoor atmospheric parameters that influence citizen's health and to find cli- mate adaptation interventions (https://ichange-project.eu/living-lab-has- selt/)	inesinellue	method.]	Ansterudin	lands	
39	Madrid Deep Demonstra- tion	Cooperation of the City Council of Madrid, Universidad Politécnica de Madrid, Ferrovial, Matadero Madrid, Distrito Castellana Norte, Iberdrola and other organisations and citi- zens' movements, to implement actions re- lated to mobility, urban renaturalization or the recovery and improvement of neighbour- hoods and housing, among others in a partic- ipatory co-creation process that meets the common interest of the citizens of Madrid (https://spain.climate-kic.org/en/news/ma- drid-officially-signs-up-to-eit-climate-kics- deep-demonstration-of-clean-healthy-cities/)	and socially sustainable urban devel-	(https://www.cli	Madrid	Spain	local
40	Malmö	Viable Cities: Partnership of City of Malmö, VA SYD, Sysav, E.ON, Trivector AB, Ericsson, Lund University and RISE to develop an action plan on how to achieve climate neutrality by 2030 (https://en.viablecities.se/foi-projekt/klimat- neutrala-malmo-2030?rq=malm%C3%B6); Deep Demonstration: Partnership of City of Malmö and EIT Climate-KIC to pave the way for continued urban transition for sustainable development (https://www.climate-	Decarboni- sation of ur- ban devel- opment; Deep Demonstra- tion: ecolog- ically and so- cially sus- tainable ur-	Deep Demon- stration: Deep Demonstration (https://www.cli mate- kic.org/wp-con- tent/up-	Malmö	Sweden	local
41	MASA	Partnership between the Municipality of Mo- dena and the University of Modena and Reg- gio Emilia to test, evaluate and implement connected and autonomous vehicles (https://trid.trb.org/view/1736816)	Mobility	[Living Lab method.]	Modena	Italy	local





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42	Merezzate+	Living Lab coordinated by Politecnico di Mi-	Ecologically	[Living Lab	Milan	Italy	local
	(Milano)	lano to integrate clean energy, energy effi-	and socially	method.]			
		ciency, sustainable mobility and circular econ-	sustainable				
		omy in a sprawling urban development	urban devel-				
		project by engaging residents, local public ac-	opment				
		tors, and stakeholders such as housing associ-					
		ations and utilities (EIT-Climate-KIC-Innova-					
		tion-Projects-Portfolio-2020.pdf)					
43	Moabit	Partnership of TU Berlin, Unterneh-	Ecologically	Smart Sustaina-	Moabit West in	Germany	local
	West (Ber-	mensnetzwerk Moabit e.V., Quartiersman-	sustainable	ble Districts	Berlin		
	lin)	agement Moabit West, CHORA conscious city,	urban devel-	(SSD)			
		Imperial College London, Nextbike GmbH,	opment	(https://www.cli			
		Berliner Agentur für Elektromobilität eMO,		mate-			
		autoBus and local authorities in order to com-		kic.org/wp-con-			
		bine different aspects of a future sustainable		tent/up-			
		city while focussing on three main topics: en-		loads/2016/04/			
		ergy efficiency, low carbon mobility and sus-		SSD-Extract-			
		tainable water management		V2.pdf)			
		(https://www.tu-berlin.de/ztg/menue/pro-					
		jekte_und_kompetenzen/projekte_abges-					
		chlossen/ssd0/parameter/maxhilfe/mobil/)					
44	MOVE21	Innovation project in Oslo, Gothenburg and	Low carbon	[Living Lab	Oslo, Gothen-	Norway,	local
		Hamburg (and later on Munich, Bologna and	logistics,	method.]	burg, Hamburg,	Sweden,	
		Rome) coordinated by the City of Oslo to	mobility		Munich, Bolo-	Germany,	
		transform European cities and their surround-			gna, Rome	Italy	
		ings into smart zero emissions nodes for mo-					
		bility and logistics (https://move21.eu/what/)					
45	Norddeutsc	Collaboration of scientific, economic or politi-	Renewable	[Living Lab	Northern Ger-	Germany	regional
		cal partners aiming at testing alternative en-	energies	method.]	many		
		ergy sources, especially hydrogen, in indus-					
		trial production processes in Northern Ger-					
		many (https://norddeutsches-reallabor.de/)					
46		Living Lab coordinated by the University of	-			Hungary	regional
		Debrecen to improve the performance of	tion	method.]	Plain Region		
	-	SMEs in rural areas by involving farmers, advi-					
		sors and researchers to develop solutions to-					
		gether and encourage the use of digital op-					
		portunities (https://desira2020.eu/north-					
		great-plain-region-hungary/)	D: :: !:	r		0	
		Living Lab coordinated by the American Farm	-		Northern	Greece	regional
		School/ATHENA to catalyse rural digitalisation	tion	method.]	Greece		
		by offering advanced digital services by ex-					
		ploiting the existing agricultural digital infra-					
		structure (https://desira2020.eu/northern-					
		greece-greece/)					





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48	oPEN Lab	Living Labs in Tartu, Pamplona and Genk coor- dinated by VITO to revitalise urban spaces and support the transition to Positive Energy Neighbourhoods by identifying and demon- strating replicable, commercially viable solu- tion packages (https://openlab-pro-	and socially sustainable urban devel-	. 0	Tartu, Pam- plona, Genk	Estonia, Spain, Bel- gium	local
49	Cities for Climate Ac-	ject.eu/about/) Project coordinated by the Greater Manches- ter Combined Authority to design and deliver a roadmap to reach carbon neutrality by 2038 and to help reduce fuel poverty and health risks posed by bad air quality (EIT-Climate-KIC- Innovation-Projects-Portfolio-2020.pdf)	sustainable regional de-	Roadmap	Greater Man- chester	United Kingdom	regional
50	abeth Olympic	Urban district development in London coordi- nated by the London Legacy Development Corporation to establish the former venue of the 2012 London Olympic Games as a multi- functional digitalised and sustainable district (Smart Sustainable Districts_Deep Demon- strations.pdf)	cally, socially and ecologi- cally sustain- able urban	(SSD) (https://www.cli	Queen Elizabeth Olympic Park in London		local
51	Reallabor Braun- schweig- Wolfsburg	Living Labs in Wolfsburg, Brunswick and the surrounding area to implement, demonstrate and evaluate the use of 5G-network systems for the development of smart regions and cit- ies (https://verkehrsforschung.dlr.de/de/pro- jekte/5g-reallabor)	-	[Living Lab	Braunschweig- Wolfsburg	Germany	regional
52	ing Labs: REsources Manage-		sustainable urban devel-	framework	sterdam, Ghent, Lodz, Pecs, Na-		local





ID#	Name	Description	Thematical	Methodological	Region	Country	Scale
53	REWAISE	European project for the creation of smart water ecosystems by integrating a digital framework for decentralised water services and decision-making and by demonstrating them in three European hubs according to hy- drological resources, needs, geography and climate (http://rewaise.eu/living-labs/ and http://rewaise.eu/the-project/)	sustainable regional de- velopment	method.]		Poland, Czechia,	regional
54	RIPEET Transition Lab	European project to support Responsible Re- search and Innovation policy experimenta- tions for energy transition in Extremadura, Highlands and Islands of Scotland and Ostro- bothnia (https://ripeet.eu/index.php/about)		"Transition Labs" [Living Lab method.]	Extremadura, Highlands and Islands of Scot- land, Ostroboth- nia	Kingdom,	regional
55	project	Collaboration of the administration of Rybnik and EIT Climate-KIC to create a sustainable fu- ture for a coal-mining city (https://www.cli- mate-kic.org/in-detail/citizens-just-transfor- mation-rybnik/)	economi- cally sustain- able re- gional devel- opment	stration	Rybnik	Poland	regional
56	Coastal City	Project by a network of ten European coastal cities to develop a strategy to rapidly, equita- bly and sustainably enhance coastal city cli- mate resilience (https://cordis.eu- ropa.eu/project/id/101003534)	Resilience	[Living Lab method.]	Oeiras, Barce- Iona Prov- ince/Vila- nova La Geltrù,	Spain, Po- land, Slo- venia, Tur- key, Italy	local, re- gional
57	SHARE- PLACE	Development and testing of concepts to im- prove the connectivity of local, regional and transnational mobility systems in five pilot re- gions: Bergamo, Crema, Osijek, Ulm, Zalae- gerszeg (https://www.interreg-cen- tral.eu/Content.Node/SHAREPLACE.html)	Mobility	[Living Lab method.]	Osijek, Zalaeger- szek, Ulm, Ber- gamo, Crema		regional
58	SMART DELTA RE- SOURCES CASE	Collaboration of large energy and resource-in- tensive companies in the Flemish-Dutch Schelde-Deltaregion to create a competitive and climate neutral industry by 2050 (https://www.smartdeltaresources.com/en)	sation of in- dustry	-	Schelde-Deltare- gion	Belgium, Nether- Iands	regional



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59	lage Living Lab: India	Network of a group of academicians, stu- dents, representatives from industry, busi- nesses and members of village communities to improve the quality of drinking water, the access to clean energy, affordable housing and education as well as improve the environ- mental surrounding (https://ruralliv- inglab.wixsite.com/smartvillgeliv- inglab/about)	and socially sustainable urban devel-	method.]	Nischintakoili Block of Cuttack District in Od- isha	India	local
	bil- ityLondon	Living Lab set up by TRL to test, simulate and innovate transport technologies to improve safety and ecological and social sustainability of London's transport system (https://smart- mobility.london/)		[Living Lab method.]	London	United Kingdom	local
	ritorial Ap- propriation	Project to increase transnational activity of in- novative clusters and networks of key sectors of the MED area (https://keep.eu/pro- jects/21321/Territorial-Appropriation-o-EN/)	tional busi- ness net-	-	-	-	regional
62	Stockholm	Place provided by the KTH Royal Institute of Technology to develop, test and evaluate new ideas and technologies concerning sustaina- ble mobility in Stockholm (https://www.itrl.kth.se/research/ongo- ingprojects/test-site-stockholm/test-site- stockholm-1.765033)	Mobility	-	Stockholm	Sweden	local
	tic Innova- tion Region (AIRe)	Integration of research and new technologies in sectors such as Life Sciences, AI, Big Data & Analytics with local communities etc. by es- tablishing the West of Ireland as a testbed for these sectors and start-ups (https://western- development.ie/2022/02/24/west-of-ire- land-is-appointed-region-of-innovation-as- regional-living-lab-launches-across-the-atlan- tic-economic-corridor/ and https://enoll.org/network/living-labs/?liv- inglab=atlantic-innovation-region)	neurship	[Living Lab method.]	West of Ireland	Ireland	regional
	Light Dis- trict	Consortium of challenge owners, citizens, mu- nicipal authorities and sustainability experts to support the local community to co-create numerous small-scale projects concerning ecological sustainability, e.g. recycling hubs, waste-free shops etc. (EIT-Climate-KIC-Inno- vation-Projects-Portfolio-2020.pdf)	and socially sustainable urban devel-	-	Postcode area 1012 in Amster- dam	Nether- lands	local





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65	Plan River Restoration	Collaboration of the State Office of Water Management and the Munich city govern- ment for a socio-ecological urban river resto- ration to improve resilience against environ- mental risks and sustainable urbanization (https://interlace-hub.com/cas- estudy/23365)	sustainable urban devel- opment, re-	-	Munich	Germany	local
66	The Keele University Smart En- ergy Net- work De-	Platform for energy generation, distribution, storage, forecasting and balancing to be intel- ligently carried out across different energy sources on the Keel University campus (SEND_smart-energy-network-demonstrator- 4pp-nov19.pdf)		[Living Lab method.]	Keele University campus	United Kingdom	local
67		18-mile test stretch in southwest Georgia to test connected and automated vehicles (https://theray.org/2019/08/11/a-living-lab- for-connected-vehicles/)	Mobility	[Living Lab method.]	Georgia	USA	local
68	Living Lab	Collaboration of 25 partners with the UK-Gov- ernment, i3P and the Construction Innovation Hub to make the infrastructure sector more efficient (https://tieslivinglab.co.uk/)	cally, socially	-	United Kingdom	United Kingdom	national
69	niki Smart mobility Living Lab	Thessaloniki as a platform for testing techno- logical and innovative solutions for mobility, cooperative and autonomous vehicles and freight transport solutions (https://openliv- inglabdays.com/2019/07/29/local-visit-thes- saloniki-smart-mobility-living-lab/)	Mobility	[Living Lab method.]	Thessaloniki	Greece	local
70	Torino City Lab	Torino as a platform for the testing of innova- tive solutions, providing a system of physical, technological infrastructures, relations and know-how concerning digitalisation at the service of quality of life, environmental and social sustainability (https://www.torinoc- itylab.it/en/)	-	[Living Lab method.]	Torino	Italy	local
71	a lab	Initiative by the Autonomous Province of Trento whereby the creation of new ICT ser- vices, products and social infrastructures is enhanced by user-driven, open innovation principles and practices and cooperation of ICT companies and research centres with local end users (https://dl.acm.org/doi/abs/10.1145/207206 9.2072130)	-	[Living Lab method.]	Trento	Italy	regional





ID#	Name	Description	Thematical	Methodological	Region	Country	Scale
72	Utrecht The	Cooperation of Municipality of Utrecht,	Economi-	-	Utrecht	Nether-	local
	New Centre	Jaarbeurs and SSD-paertners to co-develop,	cally, socially			lands	
		pilot and test new integrated solutions for a	and ecologi-				
		multifunctional and sustainable city centre	cally sustain-				
		(https://wiki.tum.de/dis-	able urba				
		play/sddi/Utrecht%2C+The+New+Centre)	develop-				
			ment				
73	Wallonia	Enhancement of the potential of creative and	Socially and	-	Wallonia	Belgium	regional
	European	cultural industries to revitalize the industrial	economi-				
	Creative	transition of the Walloon economy	cally sustain-				
	District Pro-	(http://twist-cluster.be/projets/wallonia-eu-	able urban				
	ject	ropean-creative-district.htm?lng=en)	develop-				
			ment				
74	WindNode	Partnership of multiple technology compa-	Renewable	[Living Lab	Germany	Germany	national
		nies and research institutions to identify, de-	energies	method.]			
		velop, create and test renewable energy					
		sources and sustainable grids in Germany					
		(https://tu-dres-					
		den.de/bu/wirtschaft/bwl/ee2/ressourcen/d					
		ateien/enerday-2021/2021-04-08_Wind-					
		NODE-for-Enerday_graebigkey-					
		note.pdf?lang=de)					

